

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Phillips, Justin P. *et al.*
International App'l No: PCT/GB2004/005358
Int'l Filing Date: 21 December 2004
Serial No.: Not Yet Assigned
Filing Date:
Art Unit: Not Yet Assigned
Title: OPTICAL FIBRE CATHETER PULSE OXIMETER

Mail Stop: PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

In compliance with the duty of disclosure under 37 CFR §1.56, and in accordance with the practice under 37 CFR §1.97 and §1.98, the Examiner's attention is directed to the documents listed on the enclosed modified Form PTO-1449. No inference should be made that the cited references are in fact material, are in fact prior art, or that no better art exists.

Pursuant to 37 CFR §1.98 (a)(2)(ii), copies of any U.S. patents and U.S. patent application publications listed on the enclosed modified Form PTO-1449 are not provided. Copies of foreign patent documents and non-patent literature listed on the modified Form PTO-1449 are enclosed herewith.

ATTORNEY DOCKET NO.
45852-P001WOUS

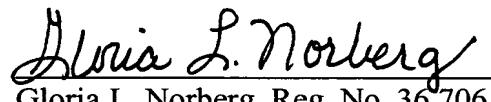
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PATENT
AP20 Rec'd PCT/PTO 21 JUN 2006

It is respectfully requested that the above information be considered by the Examiner and that a copy of the enclosed modified Form PTO-1449 be returned indicating that such information has been considered.

No fees are believed due for the filing of the present documents; however, should any fees be due, the Commissioner is hereby authorized to charge such fees to Deposit Account No 23-2426 of WINSTEAD SECHREST & MINICK P.C.

Respectfully submitted,

Date: June 19, 2006


Gloria L. Norberg, Reg. No. 36,706

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Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

STATEMENT BY ATTORNEY
(Use as many sheets as necessary)

Sheet

10

8

2

Complete if Known

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ication Number | Not yet assigned

g Date

Named Inventor **Justin P. Phillips**

Unit

Miner Name _____

Case Number _____

Casey Bucket Number 45852-1 001W003

Sheet 1 of 2 Attorney Docket Number 45852-P001WOUS

U. S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	FOREIGN PATENT DOCUMENTS			
		Foreign Patent Document Country Code ³ ~Number ⁴ ~Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear
		WO 90/07907	07-26-1990	Neurodynamics, Inc.	
		WO 02/07592 A1	01-31-2002	Universitat Zurich	

Examiner Signature		Date Considered	
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⁴EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.⁵ Applicant's unique citation designation number (optional).⁶ See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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<p>Substitute for form 1449/PTO</p> <p>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</p> <p><i>(Use as many sheets as necessary)</i></p>				<p>Complete if Known</p>	
				Application Number	Not yet assigned 109585750
				Filing Date	December 21, 2004
				First Named Inventor	Justin P. Phillips
				Art Unit	
				Examiner Name	
Sheet	2	of	2	Attorney Docket Number	45852-P001WOUS

NON PATENT LITERATURE DOCUMENTS

Examiner Signature		Date Considered	
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***EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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